

Aligned Management SolutionsSM For Compliance Risk

Develop key internal controls to ensure reliability of compliance systems with the help of Kestrel Management Services. We take the process of developing compliance procedures one step further to fully operationalize procedures into daily business activities.

Our Approach

We help clients optimize compliance and improve performance using management systems and process improvements aligned with organizational business objectives. Using a multi-step approach, we work with clients to develop a detailed understanding of business vision and goals. Then, we develop a strategy to synchronize the compliance risk performance objectives.

The Result

The **Aligned Management Solutions** approach enables an organization to identify, evaluate, and respond to risks and opportunities in terms of its business objectives. In addition, conformance can be monitored and audited, providing opportunities for continual performance improvement.

- Systematic evaluation of risks and opportunities
- Development of a risk management plan that takes advantage of the opportunities
- Operationalizing procedures and key controls
- Auditing improvement of system performance

Multi-Stage Development Process

We work with clients to develop a detailed understanding of their business vision and goals. Then, we develop a strategy to synchronize the compliance risk and performance objectives. A sampling of how this is achieved includes:

- Compliance risks - identified/prioritized
- Opportunities for organizational improvement
- Overall alignment strategy
- Defined steps to improve performance
- Compliance plan linking key controls to procedures
- Critical compliance procedures
- Approved procedures and flowcharts
- New job descriptions, required knowledge/skills and personnel training
- Test matrix linking key controls and procedures to audit and correction steps
- Corrective action log and management review procedures
- Process and metrics for compliance assurance and continual improvement